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PTO/SB/08A (08-03)

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Substitute for form 1449/PTO	, Complete if Known		
	Application Number	10/035,821	
INFORMATION DISCLOSURE	Filing Date	October 19, 2001	
	First Named Inventor	Jane Werling	
STATEMENT BY APPLICANT	Art Unit	1615	
(Use as many sheets as necessary)	Examiner Name	Simon J. Oh	
heet 11 of 11	Attorney Docket Number	IFT-5657A-1 C1	

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
31		<sup>US-</sup> 6,063,138	05/16/2000	Mazen Hanna	
70		US-			
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		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>3</sup> (if known)	MM-DD-YYYY	· · · · · · · · · · · · · · · · · · ·		
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Examiner Signature	Simon (	h	•	Date Considered	51	12/	05

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English tanguage Translation is attached.

Translation is attached.
This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/08B (08-03)

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Un Un	te for form 1449/PTO	luction A	ct of 1995, no persons ar	e required to respond to a collection of information unless it contains a valid OMB control number.  Complete if Known			
AHIDSHU	18 101 101M 1449/P1O			Application Number	10/035,821		
INF	ORMATION	DIS	CLOSURE	Filing Date	October 19, 2001		
STATEMENT BY APPLICANT			PPLICANT	First Named Inventor	Jane Werling		
	(lies se many sh	note ne e	occesand	Art Unit	1615		
(Use as many sheets as necessary)				Examiner Name	Simon J. Oh		
Sheet	1	of	1	Attorney Docket Number	IFT-5657A-1 C1	J	

NON PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²				
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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#### **ELECTRONIC INFORMATION DISCLOSURE STATEMENT**

Ælectronic Version v18 Stylesheet Version v18.0

Title of Invention

METHOD FOR PREPARING SUBMICRON SUSPENSIONS WITH POLYMORPH CONTROL

Application Number:

10/035821

Confirmation Number:

2470

First Named Applicant:

Jane Werling

Attorney Docket Number: IF-5657A-1

Art Unit:

1615

Examiner:

Simon Oh

Search string:

( 2745785 or 4073943 or 4540602 or 4606940 or 4608278 or 4826689 or 4997454 or 5049322 or 5118528 or 5122543 or 5151264 or 5174930 or 5269979 or 5314506 or 5318767 or 5354563 or 5417956 or 5466646 or 5474989 or 5518187 or 5560932 or 5591456 or 5605785 or 5626864 or 5635609 or 5641745 or 5665383 or 5707634 or 5720551 or 5780062 or 5862999 or 5916583 or 5939100 or 5981719 or 5989583 or 6039981 or 6045826 or 6139870 or 6207134 or 6221322 or 6221398 or 6231890 or 6306406 or 6344271 or 6346533 or 6365191 or 6375986 or 6395300 or 6428814 or 6462093 or 6607784 or RE35338

or 20010007678 or 20010025058 or

20010042932 or 20020012675 or 20020012704

or 20020041896 or 20020054912 or

20020110599 or 20020127278 or 20020168402

or 20030003155 or 20030031719 or

20030059472 or 20030072807 or 20030077329

or 20030096013 or 20030100568 or 20030206959 or 20040022862 ).pn.

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Note: Applicant is not required to submit a paper copy of cited US Published Applications

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19   20040022862   2004-02-05   Kipp	et al.
Signature	_
Examiner Name	, Date
Sinor Oh	12/2/04

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## INFORMATION DISCLOSURE CITATION IN AN APPLICATION (use several sheets if necessary)

PTO	Form	1449

Atty Docket No. IFT-5657A-1	Application No. 10/035,821
Applicant Werling et al.	
Filing Date	Group
Oct. 19, 2001	1615

	•	U.S. PA	TENT DOCUMENTS			
Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
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	<u>-</u>	FOREIGN PA	TENT DOCUMEN	VTS			
Examiner's	Document	Publication				Trans	lation
Initials	Number	Date	Country	Class	Subclass	Yes	No
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17	EP 0207 134 B1	17 Aug 1994	EP			X	
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Examiner: Swin ()	Date Considered: 12/2/	04
*Examiner: Initial if citation considered whether or not citat		
line through citation if not in conformance and not	considered. Include copy of th	is form with next
communication to applicant.		

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Atty Docket No.
IFT-5657A-1
Applicant
Werling et al.
Filing Date
Application No.
10/035,821
Group

PTO Form 1449

• •	Filing Date	Group
	Oct. 19, 2001	1615

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Examiner's	Document	Publication			1	Trans	lation
Initials	Number	Date	Country	Class	Subclass	Yes	No
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Examiner:	Sings ()	m	Date Cons	idered:	2/2/11	A	

\*Examiner: Initial if citation considered whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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(use several sheets if necessary)

PTO Form 1449

Atty Docket No. IFT-5657A-1	Application No. 10/035,821
Applicant Werling et al.	
Filing Date Oct. 19, 2001	Group 1615

			TENT DOCUMENT	S			
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## INFORMATION DISCLOSURE CITATION IN AN APPLICATION (use several sheets if necessary)

**PTO Form 1449** 

Atty Docket No. IFT-5657A-1	Application No. 10/035,821
Applicant Werling et al.	
Filing Date	Group

		FOREIGN PA	TENT DOCUMEN	rs		<del></del>	
Examiner's	Document	Publication				Trans	lation
Initials	Number	Date	Country	Class	Subclass	Yes	No
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## INFORMATION DISCLOSURE CITATION IN AN APPLICATION (use several sheets if necessary)

PTO Form 1449

Atty Dock	et No. 5657A-1	Applica	tion No. 10/035,821	
Applicant Wer	ing et al.			
Filing Date	10 2001	Group	1615	

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PTO Form 1449

Atty Docket No.

IFT-5657A-1

Application No.

10/035,821

Applicant

Jane Werling et al.

Filing Date

19 October 2001

Group

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\*Examiner: Initial if citation considered, whether or not citation is in conformance with PEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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(use several sheets if necessary)

Atty Docket No.

IFT-5657A-1

Application No.
10/035,824

Applicant
Jane Werling et al.

Filing Date
19 October 2001

Group
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<sup>\*</sup>Examiner: Initial if citation considered, whether or not citation is in conformance with PEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

### **Electronic Information Disclosure Statement**

## METHOD FOR PREPARING SUBMICRON SUSPENSIONS WITH POLYMORPH

**CONTROL** 

Application:

Confirmation: 2470

Applicant(s): Jane Werling

Docket Number:

IFT-5657A-1

Group Art

161.5

Unit:

Examiner:

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5916596 or 5874111 or 5858410 or 5849884 or 5833891 or 5766635 or 5716642 or 5665331 or 5662932 or 5662883 or 5660858 or 5641515 or 5587143 or 5580579 or 5578325 or 5573783 or

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Note: Applicant is not required to submit a paper copy of cited US Patent Documents

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# **Electronic Information Disclosure Statement**

## METHOD FOR PREPARING SUBMICRON

SUSPENSIONS WITH POLYMORPH
CONTROL

Application:

Confirmation: 2470

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**Docket** 

IFT-5657A-1

Number:

**Group Art** 

1615

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Examiner:

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### Signature

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